

Deep Transfer Learning

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Outline

1 Deep Transfer Learning

2 Problem 1: $P(\mathbf{X}) \neq Q(\mathbf{X})$

3 Problem 2: $P(\mathbf{X}, Y) \neq Q(\mathbf{X}, Y)$

- Joint Adaptation Network (JAN)
- Conditional Domain Adversarial Network (CDAN)

4 Evaluation

Deep Learning

Learner: $f : \mathbf{x} \rightarrow y$ Distribution: $(\mathbf{x}, y) \sim P(\mathbf{x}, y)$

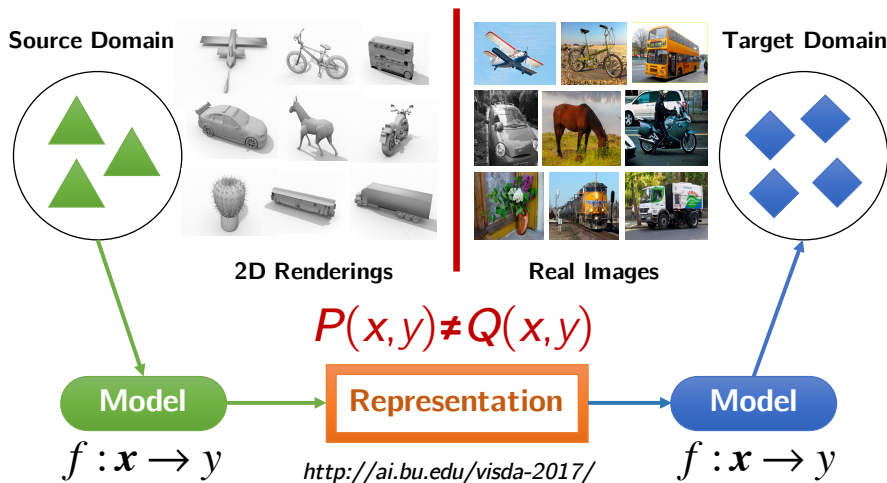


fish
bird
mammal
tree
flower
.....

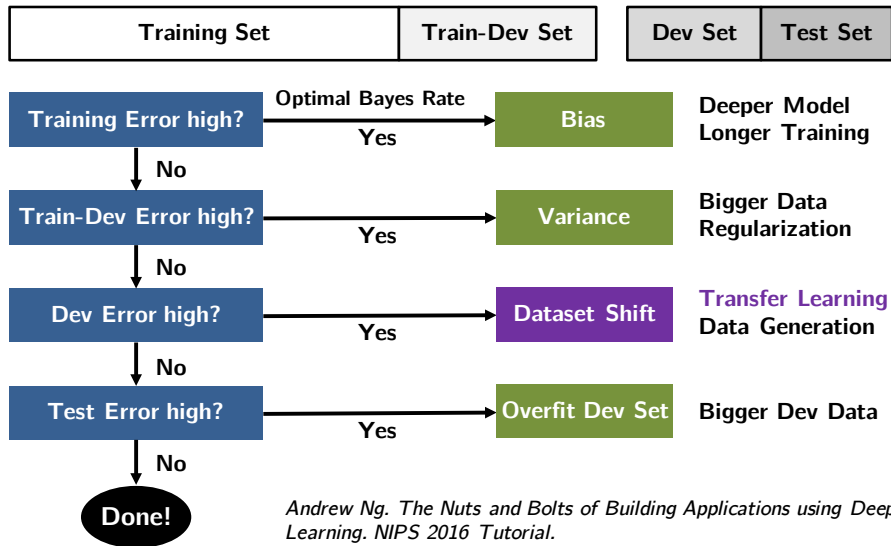
$$\text{Error Bound: } \epsilon_{\text{test}} \leq \hat{\epsilon}_{\text{train}} + \sqrt{\frac{\text{complexity}}{n}}$$

Deep Transfer Learning

- Deep learning across domains of different distributions $P \neq Q$

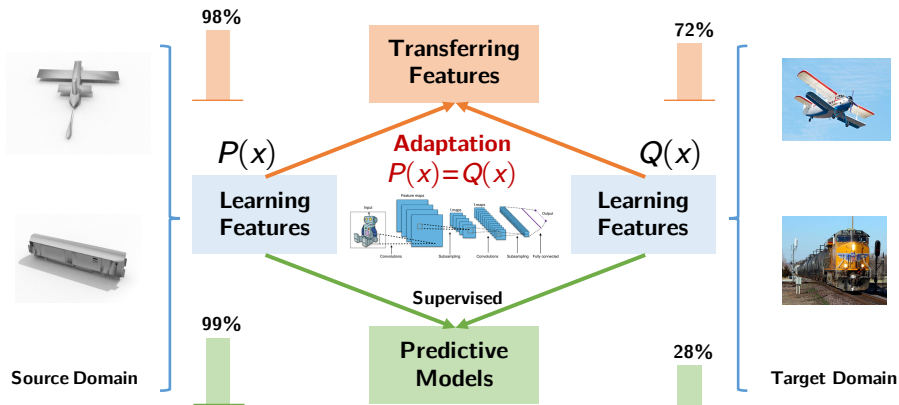


Deep Transfer Learning: Why?



Deep Transfer Learning: How?

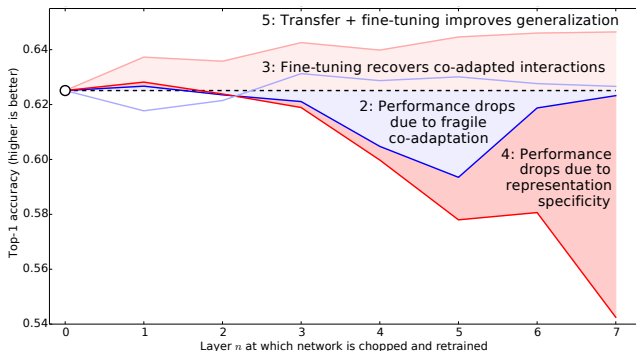
- Learning predictive models on transferable features s.t. $P(x) = Q(x)$
- Distribution matching: **MMD** (ICML'15), **GAN** (ICML'15, JMLR'16)



How Transferable Are Deep Features?

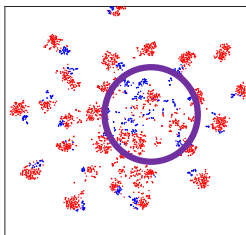
Transferability is restricted by (Yosinski et al. 2014; Glorot et al. 2011)

- **Specialization** of higher layer neurons to original task (new task ↓)
- Optimization difficulty in splitting nets between **co-adapted** neurons
- **Disentangling** of variations in higher layers enlarges task discrepancy
- Transferability of features decreases while **task discrepancy** increases



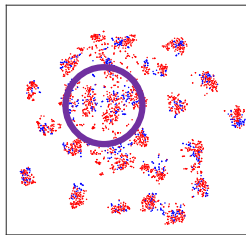
Distribution Mismatch

- Marginal distribution mismatch: $P(\mathbf{X}) \neq Q(\mathbf{X})$
- Conditional distribution mismatch: $P(Y|\mathbf{X}) \neq Q(Y|\mathbf{X})$



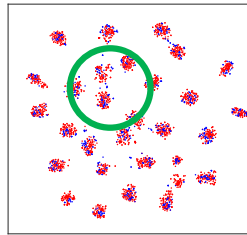
$$P(\mathbf{x}) \neq Q(\mathbf{x})$$

$$P(y|\mathbf{x}) \neq Q(y|\mathbf{x})$$



$$P(\mathbf{x}) \approx Q(\mathbf{x})$$

$$P(y|\mathbf{x}) \neq Q(y|\mathbf{x})$$

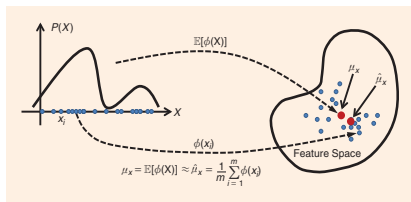


$$P(\mathbf{x}) \approx Q(\mathbf{x})$$

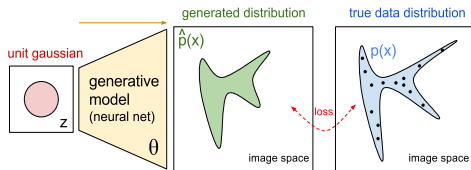
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Distribution Matching

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Kernel Embedding



Adversarial Learning

Song et al. Kernel Embeddings of Conditional Distributions. *IEEE*, 2013.

Goodfellow et al. Generative Adversarial Networks. *NIPS* 2014.

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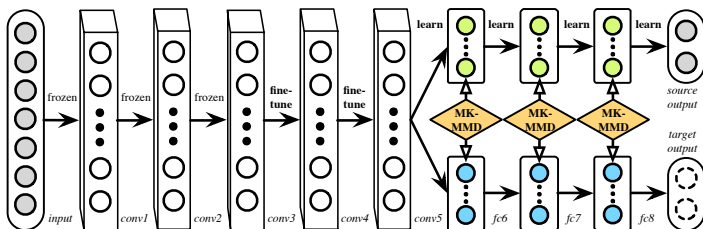
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Deep Adaptation Network (DAN)¹



Deep adaptation: match distributions in multiple domain-specific layers

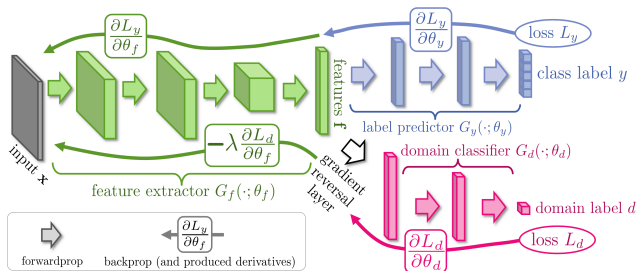
Optimal matching: maximize two-sample test power by multiple kernels

$$d_k^2(P, Q) \triangleq \|\mathbf{E}_P[\phi(\mathbf{x}^s)] - \mathbf{E}_Q[\phi(\mathbf{x}^t)]\|_{\mathcal{H}_k}^2 \quad (1)$$

$$\min_{\theta \in \Theta} \max_{k \in \mathcal{K}} \frac{1}{n_a} \sum_{i=1}^{n_a} J(\theta(\mathbf{x}_i^a), y_i^a) + \lambda \sum_{\ell=1}^{l_2} d_k^2(\mathcal{D}_s^\ell, \mathcal{D}_t^\ell) \quad (2)$$

¹Long et al. Learning Transferable Features with Deep Adaptation Networks. ICML '15. ↗ ↻ ↺

Domain Adversarial Neural Network (DANN)²

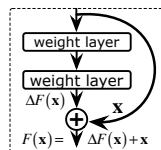
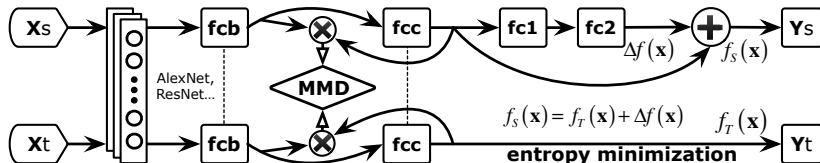


Adversarial adaptation: learning features indistinguishable across domains

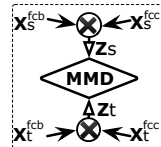
$$E(\theta_f, \theta_y, \theta_d) = \sum_{\mathbf{x}_i \in \mathcal{D}_s} L_y(G_y(G_f(\mathbf{x}_i)), y_i) - \lambda \sum_{\mathbf{x}_i \in \mathcal{D}_s \cup \mathcal{D}_t} L_d(G_d(G_f(\mathbf{x}_i)), d_i) \quad (3)$$

$$(\hat{\theta}_f, \hat{\theta}_y) = \arg \min_{\theta_f, \theta_y} E(\theta_f, \theta_y, \theta_d) \quad \hat{\theta}_d = \arg \max_{\theta_d} E(\theta_f, \theta_y, \theta_d) \quad (4)$$

²Ganin et al. Domain Adversarial Training of Neural Networks. *JMLR* '16.

Residual Transfer Network (RTN)³Classifier
Adaptation

$$\min_{f_S = f_T + \Delta f} \frac{1}{n_s} \sum_{i=1}^{n_s} L(f_s(\mathbf{x}_i^s), y_i^s)$$

Feature
Adaptation

$$+ \frac{\gamma}{n_t} \sum_{i=1}^{n_t} H(f_t(\mathbf{x}_i^t))$$






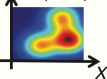

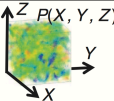
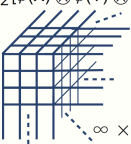
$$+ \lambda D_{\mathcal{L}}(\mathcal{D}_s, \mathcal{D}_t),$$

³ Long et al. Unsupervised Domain Adaptation with Residual Transfer Networks. NIPS '16.

Outline

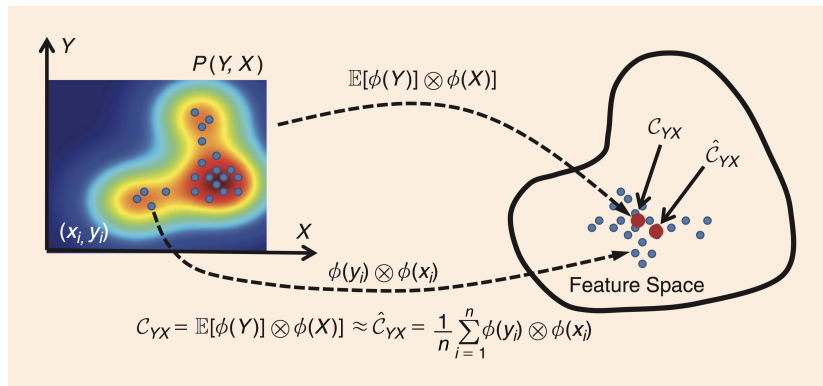
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Kernel Embedding of Distributions

	Distributions		
Discrete	$P(X)$  $d_x \times 1$	$P(X, Y)$  $d_x \times d_y$	$P(X, Y, Z)$  $d_x \times d_y \times d_z$
Kernel Embedding	$P(X)$  $\mu_X := \mathbb{E}_X[\phi(X)]$  $\infty \times 1$	$P(X, Y)$  $C_{XY} := \mathbb{E}_{XY}[\phi(X) \otimes \phi(Y)]$  $\infty \times \infty$	$P(X, Y, Z)$  $C_{XYZ} := \mathbb{E}_{XYZ}[\phi(X) \otimes \phi(Y) \otimes \phi(Z)]$  $\infty \times \infty \times \infty$

Le Song et al. *Kernel Embeddings of Conditional Distributions*. IEEE, 2013.

Kernel Embedding of Joint Distributions



$$C_{\mathbf{X}^{1:m}}(P) \triangleq \mathbb{E}_{\mathbf{X}^{1:m}} \left[\bigotimes_{\ell=1}^m \phi^\ell(\mathbf{X}^\ell) \right] \approx \hat{C}_{\mathbf{X}^{1:m}} = \frac{1}{n} \sum_{i=1}^n \bigotimes_{\ell=1}^m \phi^\ell(\mathbf{x}_i^\ell) \quad (5)$$

Le Song et al. *Kernel Embeddings of Conditional Distributions*. IEEE, 2013.

Joint Maximum Mean Discrepancy (JMMD)

Distance between *embeddings* of $P(\mathbf{Z}^{s1}, \dots, \mathbf{Z}^{s|\mathcal{L}|})$ and $Q(\mathbf{Z}^{t1}, \dots, \mathbf{Z}^{t|\mathcal{L}|})$

$$D_{\mathcal{L}}(P, Q) \triangleq \|\mathcal{C}_{\mathbf{Z}^{s,1:|\mathcal{L}|}}(P) - \mathcal{C}_{\mathbf{Z}^{t,1:|\mathcal{L}|}}(Q)\|_{\otimes_{\ell=1}^{|\mathcal{L}|} \mathcal{H}^{\ell}}^2. \quad (6)$$

$$\begin{aligned} \hat{D}_{\mathcal{L}}(P, Q) = & \frac{1}{n_s^2} \sum_{i=1}^{n_s} \sum_{j=1}^{n_s} \prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_i^{s\ell}, \mathbf{z}_j^{s\ell}) \\ & + \frac{1}{n_t^2} \sum_{i=1}^{n_t} \sum_{j=1}^{n_t} \prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_i^{t\ell}, \mathbf{z}_j^{t\ell}) \\ & - \frac{2}{n_s n_t} \sum_{i=1}^{n_s} \sum_{j=1}^{n_t} \prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_i^{s\ell}, \mathbf{z}_j^{t\ell}). \end{aligned} \quad (7)$$

Theorem (Two-Sample Test (Gretton et al. 2012))

- $P = Q$ if and only if $\hat{D}_{\mathcal{L}}(P, Q) = 0$ (In practice, $\hat{D}_{\mathcal{L}}(P, Q) < \varepsilon$)

How to Understand JMMD?

- Set last-layer features $\mathbf{Z} = \mathbf{Z}^{L-1}$, classifier predictions $\mathbf{Y} = \mathbf{Z}^L \in \mathbb{R}^C$
- We can understand $\text{JMMD}(\mathbf{Z}, \mathbf{Y})$ by simplifying it to linear kernel
- This interpretation assumes classifier predictions \mathbf{Y} be **one-hot** vector

$$\begin{aligned}
 \hat{D}_{\mathcal{L}}(P, Q) &\triangleq \left\| \frac{1}{n_s} \sum_{i=1}^{n_s} \mathbf{z}_i^s \otimes \mathbf{y}_i^s - \frac{1}{n_t} \sum_{j=1}^{n_t} \mathbf{z}_j^t \otimes \mathbf{y}_j^t \right\|^2 \\
 &= \sum_{c=1}^C \left\| \frac{1}{n_s} \sum_{i=1}^{n_s} y_{i,c}^s \mathbf{z}_i^s - \frac{1}{n_t} \sum_{j=1}^{n_t} y_{j,c}^t \mathbf{z}_j^t \right\|^2 \\
 &\approx \sum_{c=1}^C \hat{D}(P_{Z|Y=c}, Q_{Z|Y=c})
 \end{aligned} \tag{8}$$

- Equivalent to matching P and Q conditioned on each class
- JMMD process with continuous softmax activations (probability)

Connection to Wasserstein-GAN (WGAN)

Different function spaces, and different powers in comparing distributions

- Wasserstein distance

$$D_W(P, Q) \triangleq \sup_{\|\phi\|_L \leq 1} (\mathbb{E}_{\mathbf{Z}^s} [\phi(\mathbf{Z}^s)] - \mathbb{E}_{\mathbf{Z}^t} [\phi(\mathbf{X}^t)]) \quad (9)$$

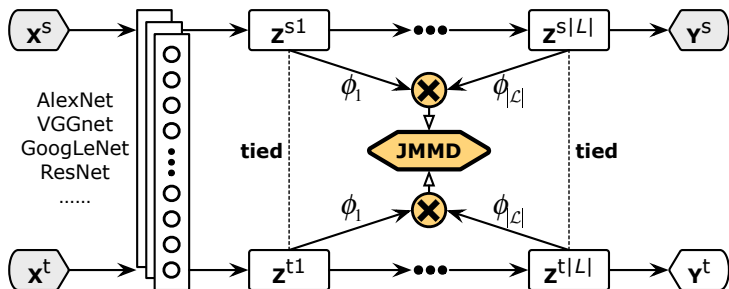
- MMD

$$D_{\mathcal{H}}(P, Q) \triangleq \sup_{\|\phi\|_{\mathcal{H}} \leq 1} (\mathbb{E}_{\mathbf{Z}^s} [\phi(\mathbf{Z}^s)] - \mathbb{E}_{\mathbf{Z}^t} [\phi(\mathbf{Z}^t)]) \quad (10)$$

- Joint MMD (JMMD)

$$D_{\mathcal{L}}(P, Q) \triangleq \sup_{\|\phi^\ell\|_{\mathcal{H}} \leq 1} \left(\mathbb{E}_{\mathbf{Z}^s} \left[\bigotimes_{\ell=1}^{|\mathcal{L}|} \phi^\ell(\mathbf{Z}^{s\ell}) \right] - \mathbb{E}_{\mathbf{Z}^t} \left[\bigotimes_{\ell=1}^{|\mathcal{L}|} (\mathbf{Z}^{t\ell}) \right] \right) \quad (11)$$

Joint Adaptation Network (JAN)⁴



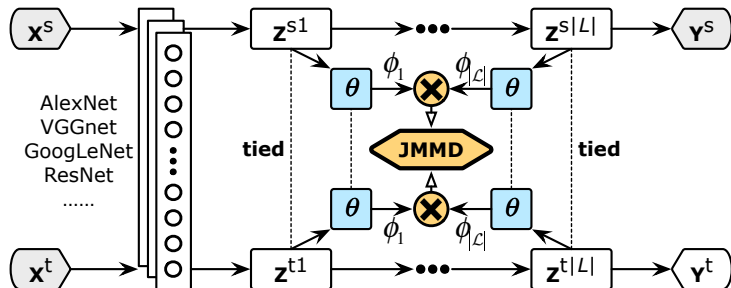
Joint adaptation: match joint distributions of multiple task-specific layers

$$\min_f \frac{1}{n_s} \sum_{i=1}^{n_s} J(f(\mathbf{x}_i^s), \mathbf{y}_i^s) + \lambda \hat{D}_{\mathcal{L}}(P, Q) \quad (12)$$

$$D_{\mathcal{L}}(P, Q) \triangleq \|\mathcal{C}_{\mathbf{Z}^{s,1:|L|}}(P) - \mathcal{C}_{\mathbf{Z}^{t,1:|L|}}(Q)\|_{\bigotimes_{\ell=1}^{|L|} \mathcal{H}^{\ell}}^2 \quad (13)$$

⁴Long et al. Deep Transfer Learning with Joint Adaptation Networks. ICML '17.

Adversarial Joint Adaptation Network (JAN-A)



Optimal matching: maximize JMMD as semi-parametric domain adversary

$$\min_f \max_{\theta} \frac{1}{n_s} \sum_{i=1}^{n_s} J(f(\mathbf{x}_i^s), \mathbf{y}_i^s) + \lambda \hat{D}_{\mathcal{L}}(P, Q; \theta) \quad (14)$$

$$\hat{D}_{\mathcal{L}}(P, Q; \theta) = \frac{2}{n} \sum_{i=1}^{n/2} d\left(\{\theta^{\ell}(\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{s\ell}, \mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{t\ell})\}_{\ell \in \mathcal{L}}\right) \quad (15)$$

Learning Algorithm

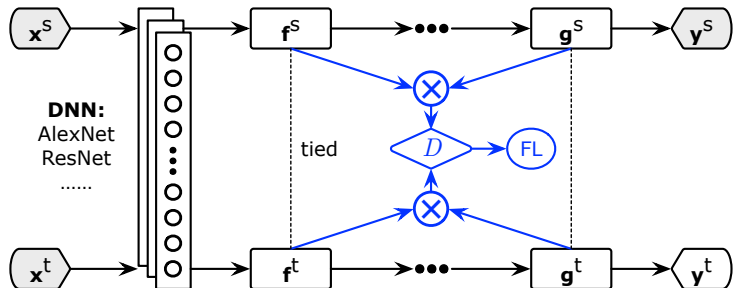
Linear-Time $O(n)$ Algorithm of JMMD (Streaming Algorithm)

$$\begin{aligned}
 \widehat{D}_{\mathcal{L}}(P, Q) &= \frac{2}{n} \sum_{i=1}^{n/2} \left(\prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{s\ell}) + \prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{t\ell}) \right) \\
 &\quad - \frac{2}{n} \sum_{i=1}^{n/2} \left(\prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{t\ell}) + \prod_{\ell \in \mathcal{L}} k^{\ell}(\mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{s\ell}) \right) \quad (16) \\
 &= \frac{2}{n} \sum_{i=1}^{n/2} d(\{\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{s\ell}, \mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{t\ell}\}_{\ell \in \mathcal{L}})
 \end{aligned}$$

SGD: for each layer ℓ and for each quad-tuple $(\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{s\ell}, \mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{t\ell})$

$$\nabla_{W^{\ell}} = \frac{\partial J(\mathbf{z}_{2i-1}^s, \mathbf{z}_{2i}^s, \mathbf{z}_{2i-1}^t, \mathbf{z}_{2i}^t)}{\partial W^{\ell}} + \lambda \frac{\partial d(\{\mathbf{z}_{2i-1}^{s\ell}, \mathbf{z}_{2i}^{s\ell}, \mathbf{z}_{2i-1}^{t\ell}, \mathbf{z}_{2i}^{t\ell}\}_{\ell \in \mathcal{L}})}{\partial W^{\ell}} \quad (17)$$

Multilinear Conditioning⁵

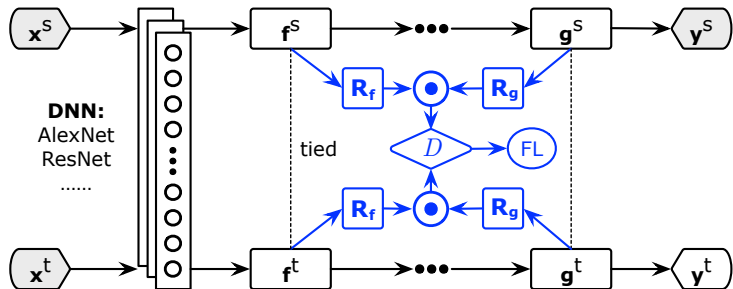


$$\begin{aligned} \min_G E(G) - \lambda E(D, G) \\ \min_D E(D, G) \end{aligned} \quad (18)$$

$$E(D, G) = -\frac{1}{n_s} \sum_{i=1}^{n_s} \log(D(\mathbf{f}_i^S \otimes \mathbf{g}_i^S)) - \frac{1}{n_t} \sum_{j=1}^{n_t} \log(1 - D(\mathbf{f}_j^T \otimes \mathbf{g}_j^T)) \quad (19)$$

⁵Long et al. Conditional Adversarial Domain Adaptation. arXiv '17

Randomized Multilinear Conditioning

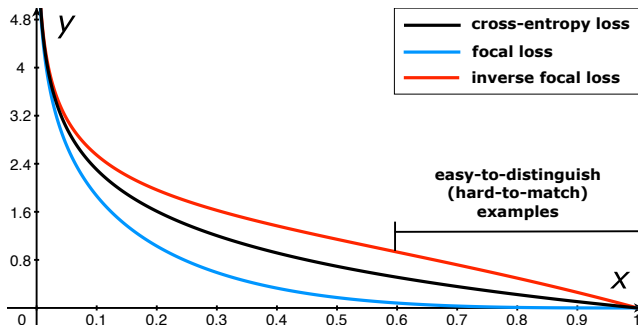


$$T_{\otimes}(\mathbf{f}, \mathbf{g}) = \mathbf{f} \otimes \mathbf{g} \quad (20)$$

$$T_{\odot}(\mathbf{f}, \mathbf{g}) = \frac{1}{\sqrt{d}} (\mathbf{R}_f \mathbf{f}) \odot (\mathbf{R}_g \mathbf{g}) \quad (21)$$

$$\phi(\mathbf{h}) = \begin{cases} T_{\otimes}(\mathbf{f}, \mathbf{g}) & \text{if } d_f \times d_g \leq 4096 \\ T_{\odot}(\mathbf{f}, \mathbf{g}) & \text{otherwise} \end{cases} \quad (22)$$

Inverse Focal Discriminator



$$\begin{aligned}
 E(D, G) = & -\frac{1}{n_s} \sum_{i=1}^{n_s} \exp(D(\phi(\mathbf{h}_i^s))) \log(D(\phi(\mathbf{h}_i^s))) \\
 & -\frac{1}{n_t} \sum_{j=1}^{n_t} \exp(1 - D(\phi(\mathbf{h}_j^t))) \log(1 - D(\phi(\mathbf{h}_j^t)))
 \end{aligned} \tag{23}$$

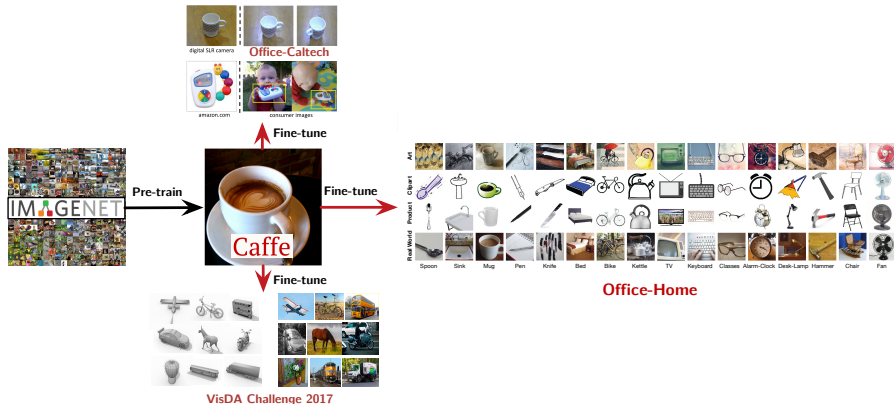
Optimization Problem

$$\begin{aligned}
 \min_G \quad & \frac{1}{n_s} \sum_{i=1}^{n_s} L(G(\mathbf{x}_i^s), \mathbf{y}_i^s) \\
 & + \frac{\lambda}{n_s} \sum_{i=1}^{n_s} \exp(D(\phi(\mathbf{h}_i^s))) \log(D(\phi(\mathbf{h}_i^s))) \\
 & + \frac{\lambda}{n_t} \sum_{j=1}^{n_t} \exp(1 - D(\phi(\mathbf{h}_j^t))) \log(1 - D(\phi(\mathbf{h}_j^t))) \quad (24) \\
 \max_D \quad & \frac{1}{n_s} \sum_{i=1}^{n_s} \exp(D(\phi(\mathbf{h}_i^s))) \log(D(\phi(\mathbf{h}_i^s))) \\
 & + \frac{1}{n_t} \sum_{j=1}^{n_t} \exp(1 - D(\phi(\mathbf{h}_j^t))) \log(1 - D(\phi(\mathbf{h}_j^t)))
 \end{aligned}$$

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Datasets

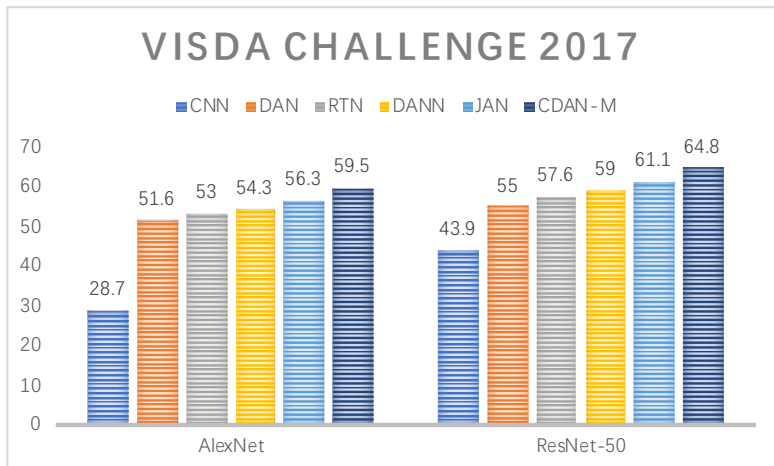


Results

Table: Accuracy (%) on *Office-31* for unsupervised domain adaptation

Method	A \rightarrow W	D \rightarrow W	W \rightarrow D	A \rightarrow D	D \rightarrow A	W \rightarrow A	Avg
AlexNet	61.6 \pm 0.5	95.4 \pm 0.3	99.0 \pm 0.2	63.8 \pm 0.5	51.1 \pm 0.6	49.8 \pm 0.4	70.1
TCA	61.0 \pm 0.0	93.2 \pm 0.0	95.2 \pm 0.0	60.8 \pm 0.0	51.6 \pm 0.0	50.9 \pm 0.0	68.8
GFK	60.4 \pm 0.0	95.6 \pm 0.0	95.0 \pm 0.0	60.6 \pm 0.0	52.4 \pm 0.0	48.1 \pm 0.0	68.7
DAN	68.5 \pm 0.5	96.0 \pm 0.3	99.0 \pm 0.3	67.0 \pm 0.4	54.0 \pm 0.5	53.1 \pm 0.5	72.9
RTN	73.3 \pm 0.3	96.8 \pm 0.2	99.6 \pm 0.1	71.0 \pm 0.2	50.5 \pm 0.3	51.0 \pm 0.1	73.7
DANN	73.0 \pm 0.5	96.4 \pm 0.3	99.2 \pm 0.3	72.3 \pm 0.3	53.4 \pm 0.4	51.2 \pm 0.5	74.3
ADDA	73.5 \pm 0.6	96.2 \pm 0.4	98.8 \pm 0.4	71.6 \pm 0.4	54.6 \pm 0.5	53.5 \pm 0.6	74.7
JAN	74.9 \pm 0.3	96.6 \pm 0.2	99.5 \pm 0.2	71.8 \pm 0.2	58.3\pm0.3	55.0 \pm 0.4	76.0
CDAN-RM	77.9\pm0.3	96.9 \pm 0.2	100.0\pm0.0	74.6\pm0.2	55.1 \pm 0.3	57.5\pm0.4	77.0
CDAN-M	77.6 \pm 0.2	97.2\pm0.1	100.0\pm0.0	73.0 \pm 0.1	57.3 \pm 0.2	56.1 \pm 0.3	76.9
ResNet-50	68.4 \pm 0.2	96.7 \pm 0.1	99.3 \pm 0.1	68.9 \pm 0.2	62.5 \pm 0.3	60.7 \pm 0.3	76.1
TCA	72.7 \pm 0.0	96.7 \pm 0.0	99.6 \pm 0.0	74.1 \pm 0.0	61.7 \pm 0.0	60.9 \pm 0.0	77.6
GFK	72.8 \pm 0.0	95.0 \pm 0.0	98.2 \pm 0.0	74.5 \pm 0.0	63.4 \pm 0.0	61.0 \pm 0.0	77.5
DAN	80.5 \pm 0.4	97.1 \pm 0.2	99.6 \pm 0.1	78.6 \pm 0.2	63.6 \pm 0.3	62.8 \pm 0.2	80.4
RTN	84.5 \pm 0.2	96.8 \pm 0.1	99.4 \pm 0.1	77.5 \pm 0.3	66.2 \pm 0.2	64.8 \pm 0.3	81.6
DANN	82.0 \pm 0.4	96.9 \pm 0.2	99.1 \pm 0.1	79.7 \pm 0.4	68.2 \pm 0.4	67.4 \pm 0.5	82.2
ADDA	86.2 \pm 0.5	96.2 \pm 0.3	98.4 \pm 0.3	77.8 \pm 0.3	69.5 \pm 0.4	68.9 \pm 0.5	82.9
JAN	85.4 \pm 0.3	97.4 \pm 0.2	99.8 \pm 0.2	84.7 \pm 0.3	68.6 \pm 0.3	70.0 \pm 0.4	84.3
CDAN-RM	93.0 \pm 0.2	98.4 \pm 0.2	100.0\pm0.0	89.2 \pm 0.3	70.2 \pm 0.4	69.4 \pm 0.4	86.7
CDAN-M	93.1\pm0.1	98.6\pm0.1	100.0\pm0.0	93.4\pm0.2	71.0\pm0.3	70.3\pm0.3	87.7

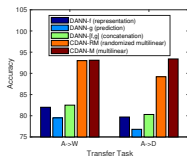
Results



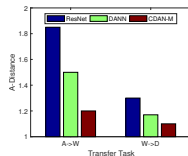
Analysis

Table: Accuracy (%) of CDAN variants for unsupervised domain adaptation

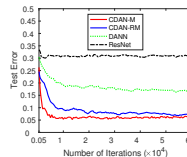
Method	A \rightarrow W	D \rightarrow W	W \rightarrow D	A \rightarrow D	D \rightarrow A	W \rightarrow A	Avg
CDAN-RM (bernoulli)	87.8 \pm 0.3	97.2 \pm 0.3	99.4 \pm 0.1	85.1 \pm 0.4	70.9\pm0.5	71.7\pm0.5	85.3
CDAN-RM (gaussian)	88.0 \pm 0.1	97.4 \pm 0.1	99.7 \pm 0.1	86.4 \pm 0.2	70.6 \pm 0.3	71.4 \pm 0.3	85.6
CDAN-RM (uniform)	93.0\pm0.2	98.4\pm0.2	100.0\pm0.0	89.2\pm0.3	70.2 \pm 0.4	69.4 \pm 0.4	86.7
CDAN-M (no focal loss)	91.7 \pm 0.2	98.3 \pm 0.1	100.0\pm0.0	92.5 \pm 0.2	70.0 \pm 0.2	67.8 \pm 0.2	86.8
CDAN-M (focal loss)	93.1\pm0.1	98.6\pm0.1	100.0\pm0.0	93.4\pm0.2	71.0\pm0.3	70.3\pm0.3	87.7



(a) Conditioning



(b) Discrepancy



(c) Convergence

Figure: Analysis of CDAN: (a) Conditioning, (b) Discrepancy, (c) Convergence.

Open Problems

- Heterogeneous Transfer Learning

$$\mathbf{X}_s \neq \mathbf{X}_t \vee \mathbf{Y}_s \neq \mathbf{Y}_t$$

- Pixel-Level Transfer Learning

$$P(\mathbf{X}) \neq Q(\mathbf{X}) \vee P(\mathbf{Z}) \neq Q(\mathbf{Z})$$

- Learning Transferable Architectures

- Code available at: <https://github.com/thuml/Xlearn>