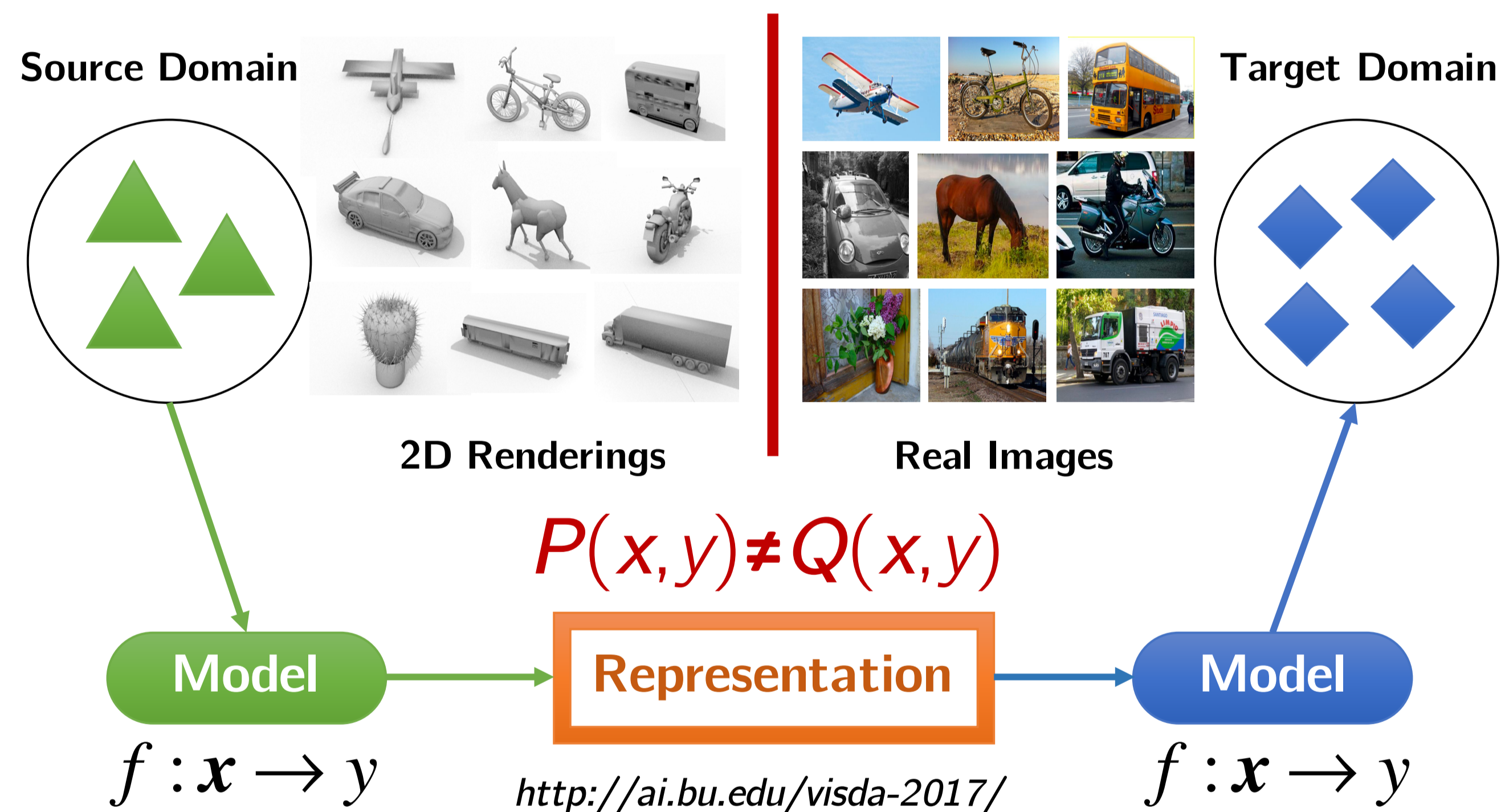


## Summary

- Principled approaches to domain adaptation: Conditional Domain Adversarial Networks (CDAN)
- Two technical contributions:
  - Multilinear Conditioning**: capture the cross-covariance between domain-specific feature representations and classifier predictions to improve the discriminability
  - Entropy Conditioning**: control the uncertainty of (target) classifier predictions to guarantee the transferability
- New domain adaptation theory on the **generalization error bound**
- State-of-art results on many vision & simulation-to-real datasets
- Open Problems
  - Randomized method for multilinear operation with lower approximation error
  - Complexity analysis for the domain adaptation theory involving neural networks
- Code@: <https://github.com/thuml/CDAN>

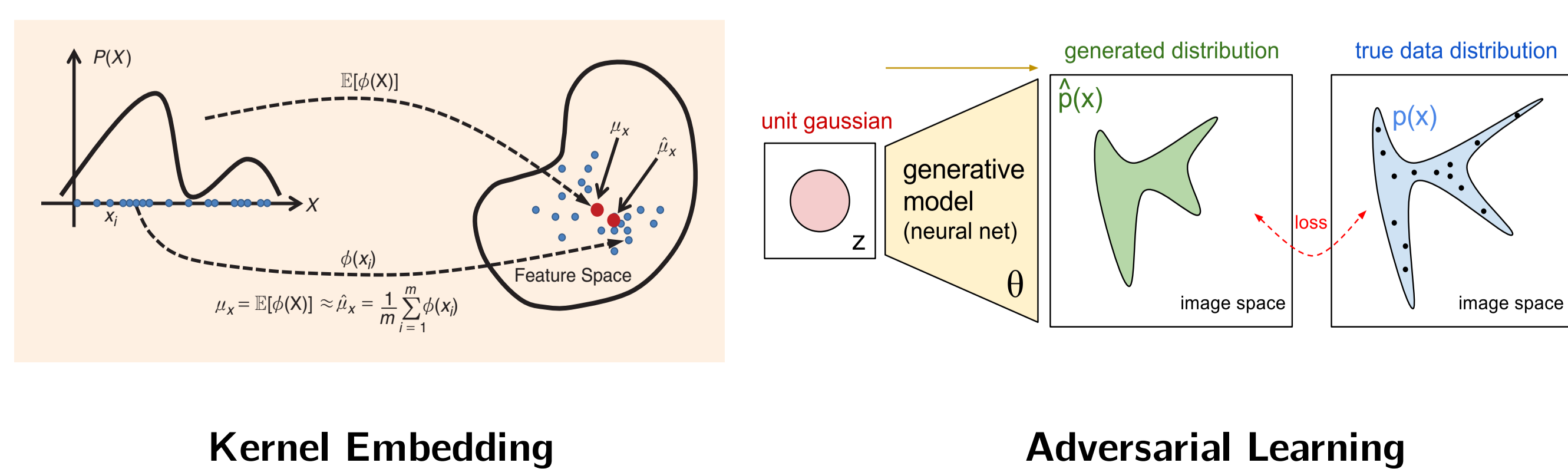
## Deep Domain Adaptation

Deep Learning across Domains following **Non-IID** Distributions  $P \neq Q$



## Basic Approaches to Domain Adaptation

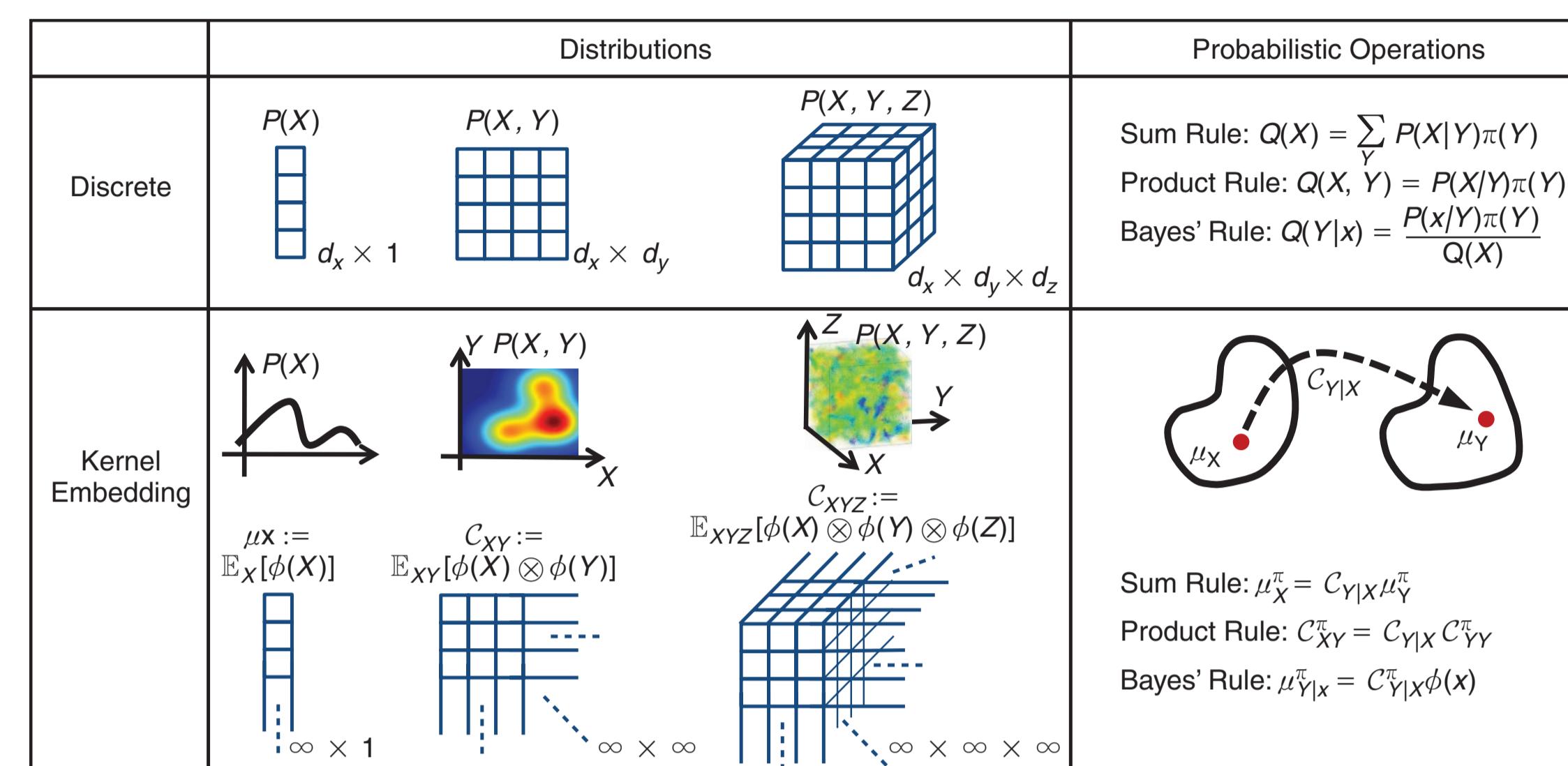
- Matching distributions across source and target domains s.t.  $P \approx Q$
- Reduce **marginal** distribution mismatch:  $P(\mathbf{X}) \neq Q(\mathbf{X})$
- Reduce **conditional** distribution mismatch:  $P(Y|\mathbf{X}) \neq Q(Y|\mathbf{X})$
- Challenge**: fail to align different domains of **multimodal** distributions



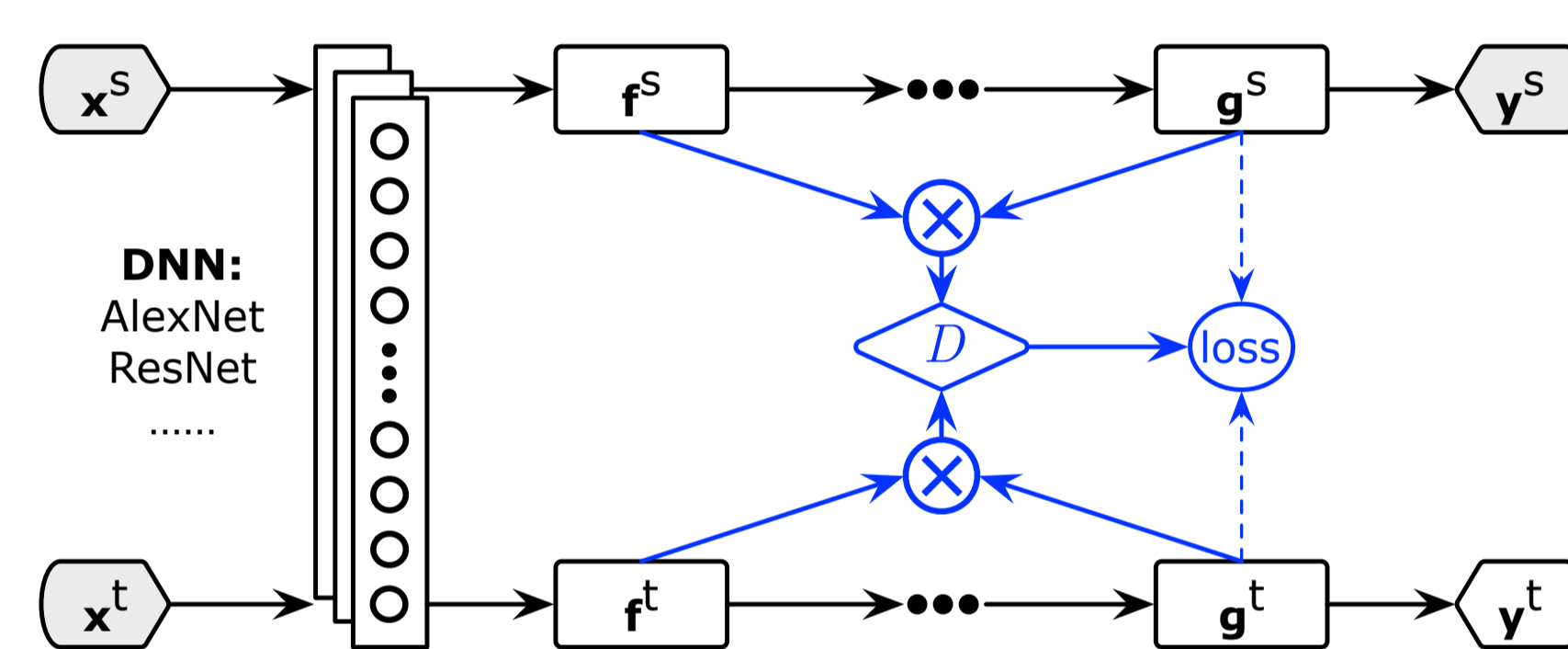
## Main Idea of This Work

Distribution Embeddings with Statistics: **multilinear**  $\gg$  **concatenation**

- Capture **cross-covariance** statistics across multiple random vectors



## CDAN: Multilinear Conditioning



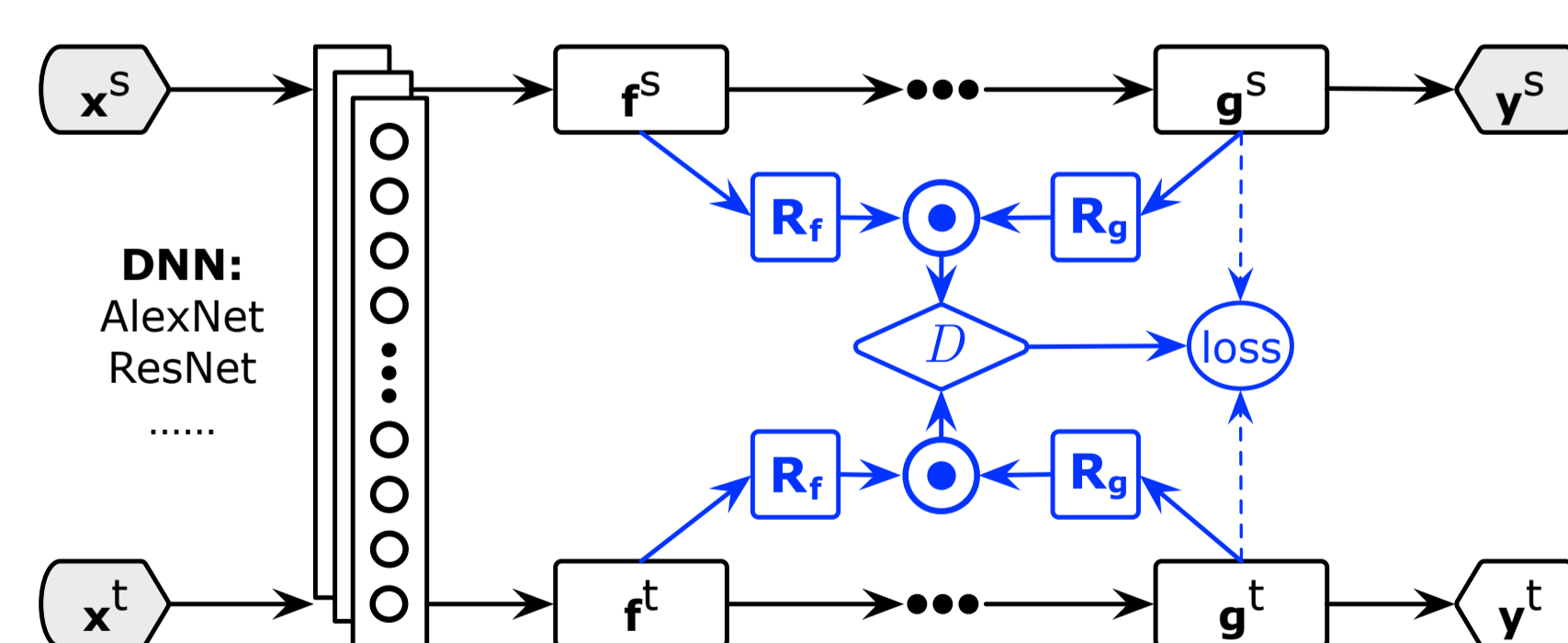
Conditional adaptation of distributions over representation & prediction

$$\min_G \mathcal{E}(G) - \lambda \mathcal{E}(D, G)$$

$$\min_D \mathcal{E}(D, G), \quad (1)$$

$$\mathcal{E}(D, G) = -\mathbb{E}_{\mathbf{x}_i^s \sim \mathcal{D}_s} \log [D(\mathbf{f}_i^s \otimes \mathbf{g}_i^s)] - \mathbb{E}_{\mathbf{x}_j^t \sim \mathcal{D}_t} \log [1 - D(\mathbf{f}_j^t \otimes \mathbf{g}_j^t)] \quad (2)$$

## CDAN: Randomized Multilinear Conditioning



Conditional adaptation of distributions over representation & prediction

$$T_{\otimes}(\mathbf{f}, \mathbf{g}) = \mathbf{f} \otimes \mathbf{g} \quad (3)$$

$$T_{\odot}(\mathbf{f}, \mathbf{g}) = \frac{1}{\sqrt{d}} (\mathbf{R}_f \mathbf{f}) \odot (\mathbf{R}_g \mathbf{g}) \quad (4)$$

$$T(\mathbf{h}) = \begin{cases} T_{\otimes}(\mathbf{f}, \mathbf{g}) & \text{if } d_f \times d_g \leq 4096 \\ T_{\odot}(\mathbf{f}, \mathbf{g}) & \text{otherwise} \end{cases} \quad (5)$$

## CDAN: Entropy Conditioning

$$\min_G \mathbb{E}_{(\mathbf{x}_i^s, \mathbf{y}_i^s) \sim \mathcal{D}_s} L(G(\mathbf{x}_i^s), \mathbf{y}_i^s) + \lambda \left( \mathbb{E}_{\mathbf{x}_i^s \sim \mathcal{D}_s} w(H(\mathbf{g}_i^s)) \log [D(T(\mathbf{h}_i^s))] + \mathbb{E}_{\mathbf{x}_j^t \sim \mathcal{D}_t} w(H(\mathbf{g}_j^t)) \log [1 - D(T(\mathbf{h}_j^t))] \right)$$

$$\max_D \mathbb{E}_{\mathbf{x}_i^s \sim \mathcal{D}_s} w(H(\mathbf{g}_i^s)) \log [D(T(\mathbf{h}_i^s))] + \mathbb{E}_{\mathbf{x}_j^t \sim \mathcal{D}_t} w(H(\mathbf{g}_j^t)) \log [1 - D(T(\mathbf{h}_j^t))] \quad (6)$$

## CDAN: Generalization Error Bound

The probabilistic bound of the target risk  $\epsilon_Q(G)$  of hypothesis  $G$  is given by the source risk  $\epsilon_P(G)$  plus the distribution discrepancy:

$$\epsilon_Q(G) \leq \epsilon_P(G) + [\epsilon_P(G^*) + \epsilon_Q(G^*)] + |\epsilon_P(G, G^*) - \epsilon_Q(G, G^*)|. \quad (7)$$

The distribution discrepancy  $|\epsilon_P(G, G^*) - \epsilon_Q(G, G^*)|$  is bounded by

$$|\epsilon_P(G, G^*) - \epsilon_Q(G, G^*)| \leq |\mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim P_G} [\mathbf{g} \neq G^*(\mathbf{f})] - \mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim Q_G} [\mathbf{g} \neq G^*(\mathbf{f})]|$$

$$\leq \sup_{G^* \in \mathcal{H}} |\mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim P_G} [\mathbf{g} - G^*(\mathbf{f})] - \mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim Q_G} [\mathbf{g} - G^*(\mathbf{f})]|$$

$$\leq \sup_{\delta \in \Delta} |\mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim P_G} [\delta(\mathbf{f}, \mathbf{g}) \neq 0] - \mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim Q_G} [\delta(\mathbf{f}, \mathbf{g}) \neq 0]| \quad (8)$$

$$\leq \sup_{D \in \mathcal{H}_D} |\mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim P_G} [D(\mathbf{f}, \mathbf{g}) \neq 0] - \mathbb{E}_{(\mathbf{f}, \mathbf{g}) \sim Q_G} [D(\mathbf{f}, \mathbf{g}) \neq 0]|,$$

i.e., the distribution discrepancy is bounded by domain discriminator.

## Experimental Results

Table: Accuracy (%) on *Office-31* for Unsupervised Domain Adaptation

Method	A → W	D → W	W → D	A → D	D → A	W → A	Avg
AlexNet	61.6±0.5	95.4±0.3	99.0±0.2	63.8±0.5	51.1±0.6	49.8±0.4	70.1
DANN	73.0±0.5	96.4±0.3	99.2±0.3	72.3±0.3	53.4±0.4	51.2±0.5	74.3
JAN	74.9±0.3	96.6±0.2	99.5±0.2	71.8±0.2	<b>58.3±0.3</b>	55.0±0.4	76.0
<b>CDAN</b>	77.9±0.3	96.9±0.2	<b>100.0±0.0</b>	75.1±0.2	54.5±0.3	<b>57.5±0.4</b>	77.0
<b>CDAN+E</b>	<b>78.3±0.2</b>	<b>97.2±0.1</b>	<b>100.0±0.0</b>	<b>76.3±0.1</b>	57.3±0.2	57.3±0.3	<b>77.7</b>
ResNet-50	68.4±0.2	96.7±0.1	99.3±0.1	68.9±0.2	62.5±0.3	60.7±0.3	76.1
DANN	82.0±0.4	96.9±0.2	99.1±0.1	79.7±0.4	68.2±0.4	67.4±0.5	82.2
JAN	85.4±0.3	97.4±0.2	99.8±0.2	84.7±0.3	68.6±0.3	70.0±0.4	84.3
<b>CDAN</b>	<b>93.1±0.2</b>	<b>98.2±0.2</b>	<b>100.0±0.0</b>	<b>89.8±0.3</b>	70.1±0.4	68.0±0.4	86.6
<b>CDAN+E</b>	<b>94.1±0.1</b>	<b>98.6±0.1</b>	<b>100.0±0.0</b>	<b>92.9±0.2</b>	71.0±0.3	69.3±0.3	<b>87.7</b>

Table: Accuracy (%) on *Office-Home* for Unsupervised Domain Adaptation

Method	Ar→Cl	Ar→Pr	Ar→Rw	Cl→Ar	Cl→Pr	Cl→Rw	Pr→Ar	Pr→Cl	Pr→Rw	Rw→Ar	Rw→Cl	Rw→Pr	Avg
AlexNet	26.4	32.6	41.3	22.1	41.7	42.1	20.5	20.3	51.1	31.0	27.9	54.9	34.3
DANN	36.4	45.2	54.7	35.2	51.8	55.1	31.6	39.7	59.3	45.7	46.4	65.9	47.3
JAN	35.5	46.1	57.7	36.4	53.3	54.5	33.4	40.3	60.1	45.9	47.4	67.9	48.2
<b>CDAN</b>	36.2	47.3	58.6	37.3	54.4	<b>58.3</b>	33.2	<b>43.9</b>	62.1	48.2	48.1	70.7	49.9
<b>CDAN+E</b>	<b>38.1</b>	<b>50.3</b>	<b>60.3</b>	<b>39.7</b>	<b>56.4</b>	57.8	<b>35.5</b>	43.1	<b>63.2</b>	<b>48.4</b>	<b>48.5</b>	<b>71.1</b>	<b>51.0</b>
ResNet-50	34.9	50.0	58.0	37.4	41.9	46.2	38.5	31.2	60.4	53.9	41.2	59.9	46.1
DANN	45.6	59.3	70.1	47.0	58.5	60.9	46.1	43.7	68.5	63.2	51.8	76.8	57.6
JAN	45.9	61.2	68.9	50.4	59.7	61.0	45.8	43.4	70.3	63.9	52.4	76.8	58.3
<b>CDAN</b>	49.0	69.3	74.5	54.4	66.0	68.4	55.6	48.3	75.9	68.4	55.4	80.5	63.8
<b>CDAN+E</b>	<b>50.7</b>	<b>70.6</b>	<b>76.0</b>	<b>57.6</b>	<b>70.0</b>	<b>70.0</b>	<b>57.4</b>	<b>50.9</b>	<b>77.3</b>	<b>70.9</b>	<b>56.7</b>	<b>81.6</b>	<b>65.8</b>

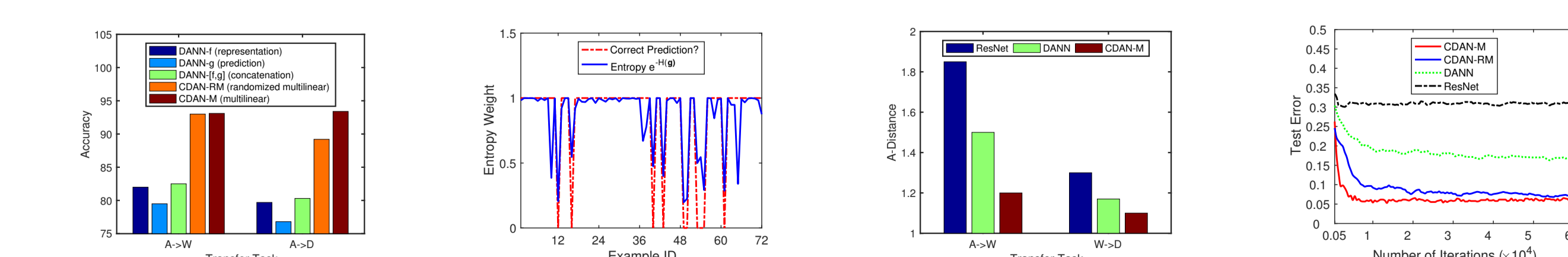


Figure: Analysis of conditioning strategies, distribution discrepancy, and convergence.